

First Named Inventor	: Harry GOZLAN	Group Art Unit: Examiner:
Appln. No.	:	
Filed	: November 27, 2001	
Title	: PROCESS AND SYSTEM FOR PROCESSING, BY MUTUAL AGREEMENT, ORDERS TO BUY AND SELL RELATING TO STANDARD AND/OR SPECIFIC FINANCIAL INSTRUMENTS	
Docket No.	: S857.312-1	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

SENT VIA EXPRESS MAIL

Express Mail No.: EL763826508US

Sir:

The enclosed PTO Form-1449 lists patents and publications submitted pursuant to 37 C.F.R. 1.97. Copies of the patents or publications are enclosed as necessary.

Submitted herewith is a copy (with English translation as appropriate) of an Official Search Report of the European Patent Office in counterpart foreign Application No. PCT/FR00/01446 filed May 26, 2000.

TIME OF FILING

The Information Disclosure Statement is being filed:

1. X with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or, to the best of the undersigned's knowledge, before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. 1.97(b), no certification or fee is required.

Respectfully submitted,

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FORM PTO-1449	Atty. Docket No.: S857.312-1	Application No.:
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor: Harry GOZLAN	
	Filing Date: November 27, 2001	Group Art:

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AI	EP 0 411 748 A2	02/06/1991	Europe			
AJ	WO 96 05563 A	02/22/1996	PCT			
AK	WO 97 45802 A	12/04/1997	PCT			
AL	WO 98 58333 A	12/23/1998	PCT			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AM	Fan M et al: "A Web-Based Financial Trading System" Computer, US, IEEE Computer Society, Long Beach, CA, US 04/1999, pages 64-70, XP000669915, ISSN: 0018-9162, pg. 64, column 1, line 1-column 2 line 13
AN	
AO	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.